

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

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CITY OF PROVIDENCE, RHODE ISLAND,  
Individually and on Behalf of All Others Similarly  
Situated,

Plaintiff,

vs.

BATS GLOBAL MARKETS, INC., et al.,

Defendants.  
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Civil Action No. 14-cv-2811 (JMF)

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**CORPORATE DISCLOSURE STATEMENT OF  
DEFENDANT SCOTTRADE FINANCIAL SERVICES, INC.**

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for Defendant Scottrade Financial Services, Inc., a private non-governmental party, hereby certifies that Scottrade Financial Services, Inc. has no publicly-held corporate parents, affiliates or subsidiaries.

Dated: June 25, 2014

Respectfully submitted,

By: /s/ Helen B. Kim

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*Attorneys for Defendant*

*Scottrade Financial Services, Inc.*

**CERTIFICATE OF SERVICE**

I hereby certify that on this 25<sup>th</sup> day of June, 2014, a true and correct copy  
of **CORPORATE DISCLOSURE STATEMENT OF DEFENDANT SCOTTRADE  
FINANCIAL SERVICES, INC.** was served via the United States District Court CM/ECF  
system on all parties or persons requiring notice.

By /s/ Helen B. Kim

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